Declassified and Approved For Release 2013/07/24 : CIA-RDP90M00005R001000110025-7

United States Senate

WASHINGTON, D.C. 20510

April 19, 1988

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*Sec Misc FedPers

Mr. William F. Donnelly
Deputy Director for Administration
Central Intelligence Agency
Washington, D.C. 20505

Dear Mr. Donnelly:

Since 1984, the General Accounting Office, at the request of the Committees on Government Operations and Post Office and Civil Service, has conducted several surveys of the government's information and personnel security programs. Their last survey covered calendar years 1984 and 1985. We have requested the General Accounting Office to obtain updated and additional information relative to calendar years 1986 and 1987. We would appreciate your response to the enclosed questionnaire by May 16, 1988.

Thank you very much for your prompt attention to this

request.

Sincerely

Jack Brooks Clairman

Committee on

Government Operations

William D. Ford

Chairman

Committee on Post Office

and Civil Service

David Pryor

Chairman

Subcommittee on Federal Services, Post Office and

Civil Service

REQUEST FOR INFORMATION RELATIVE TO INFORMATION AND PERSONNEL SECURITY

Introduction:

Throughout this questionnaire, we are talking about the number of people; for that reason, the number of positions should not be converted to full-time equivalents.

When an estimate is given for any answer, please precede the estimated number with the letter "E".

Unless otherwise specified, the requested information should be as of December 31, 1986, and December 31, 1987.

Please be specific when indicating whether an answer is classified.

If your agency participates in the Defense Industrial Security Program (DISP), you need not provide data on those contractor employees covered by the program, because such data will be obtained directly from the Department of Defense. If you also have contractor employees not covered by the DISP, please provide the requested data for them.

If any information will not be obtainable within the specified timeframe, please send that information which is available and indicate a date by which we may expect the remainder.

If you have any questions, please call Mr. Don Lentz 275-4052 or Mr. Irv Boker, 275-3973. Please return the completed questionnaire by May 16, 1988, to:

Mr. Irv Boker, Room 4100 U.S. General Accounting Office Washington, D.C. 20548

QUESTIONNAIRE

GENERAL

1. Approximately how many people were employed by your agency as of December 31, 1986, and December 31, 1987? (The total should include both full- and part-time employees. If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	1986	1987
Employees		

SECURITY CLEARANCES

2. As of December 31, 1986, and December 31, 1987, approximately how many of your employees and how many employees of your contractors were cleared for access to classified information? Please count the individuals based on their highest level of clearance. (If the exact number is not known, please give your agency's best estimate. Do not include contractor employees who were granted clearances by the Defense Investigative Service. Enter the number on each line. If none, enter "0").

Highest Level of Clearance	198 Agency Employees	Contractor Employees	Agency Employees	Contractor Employees
TOP SECRET		*******		
SECRET				
CONFIDENTIAL				

3. How many SCI and non-SCI special access programs did your agency have at the close of calendar years 1986 and 1987? How many billets were authorized for these programs and how many agency and contractor employees were granted special access authorizations for them for each of those years? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

	SCI 1	Non-SCI	SCI 198	Non-SCI
Programs		-		
Billets				
Agency employees				
Contractor employees				

	How many personnel security clearances, SCI accesses, and non-SCI special access program (SAP) accesses did you grant (or were granted) to your employees and employee of your contractors during calendar years 1986 and 1987? (If the exact number is not available, please give your agency's best estimate and indicate such by preceding the number with the letter "E". Do not include clearances granted to contractor employee by the Defense Investigative Service.)
--	---

	Agency 198	86 Contractor	Agency 198	7 Contractor
TOP SECRET				
SECRET				
CONFIDENTIAL				
TOTAL				
SCI Access				
Non-SCI Special				
Access Program				

5. How many requests for security clearances and SCI and non-SCI SAP accesses were in process (incomplete National Agency Checks, Background Investigations, adjudications, etc.) at the end of calendar years 1986 and 1987? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

Level of Request for Clearance and Access in Process	Agency	86 Contractor	198 Agency	87 Contractor
TOP SECRET				
SECRET				
CONFIDENTIAL				
TOTAL				
SCI Access				
Non-SCI SAP Access				
The state of the s				

6. What was the average time (in calendar days) required for you or another agency to complete the investigative work incident to the granting of a top secret and secret security clearance and SCI and non-SCI SAP access during calendar years 1986 and 1987? (Do not include the time involved in the preparation and internal processing of the personnel security questionnaire and in the subsequent adjudicative process. Include, if appropriate, the average time taken by the Defense Investigative Service to process your requests for clearances for contractor employees.)

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Level of Clearance	Agency 19	Contractor	Agency 19	87 Contractor	
TOP SECRET					
SECRET	_				
CONFIDENTIAL					
SCI Access					
Non-SCI SAP Access					
Joz Dar Access					

7. How many requests for your employees and employees of your contractors for security clearances and SCI and non-SCI SAP access were denied during calendar years 1986 and 1987? How many clearances and SCI and non-SCI accesses were revoked for reasons of risk or potential risk to national security or administratively because of insufficient need? Identify, by placing in brackets, those requests for clearances or revocations for contractor employees administered by the Defense Investigative Service. (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

Anna-	_	Level of	Clearance	and Access	6	
Agency 1986	Top		Confi-	SCI	Non-SCI	
<u> </u>	Secret	Secret	dential	access	SAP access	Total
Number of Denials						
7						
Revocations-Because of: Risk or						
Potential Risk						
A SOUTH AND A SOUT						
Administrative-No						
Further Need						
Total Number of		,				
Revocations						
	-					
1987						
Number of Deal						
Number of Denials						
Revocations-Because						
of: Risk or						
Potential Risk						
Administrative-No			·			
Further Need						
Total Number of						
Revocations						

Contractor 1986	Top Secret	Secret	Confi- dential	SCI access	Non-SCI SAP access	Total
Number of Denials						
Revocations-Because of: Risk or Potential Risk						
Administrative-No Further Need						
Total Number of Revocations						
1987 Number of Denials						
Revocations-Because of: Risk or Potential Risk						
Administrative-No Further Need						
Total Number of Revocations						
P10000 mmm11.						

8. Please provide copies of your agency's policies and procedures governing the periodic reinvestigation of individuals after the initial granting of clearances and SCI access. How many completed reinvestigations of any type did your agency conduct or have conducted for it during calendar years 1986 and 1987? What was the average time (in calendar days) required for completion of the reinvestigation? (If the exact number is not available, please give your agency's best estimate, and indicate such by preceding the number with the letter "E").

1986 Number of completed Reinvestigations:	Top Secret	Level of Secret	Clearance Confi- dential	SCI access	Non-SCI SAP access	Total
Agency						
Contractor						
Average Time Required:						
Agency				,		
Contractor						

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Secret Secret dential access SAP access Total pleted ons: Time:		_	Leve.	l of Clear	ance and A	Lccess	
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract cost y specific costs if possible (i.e. adjudicative costs, Defense Invesice vs. Office of Personnel Management, etc.). 1986 1987	1987	Top		Confi-			
pleted ons: or Time: how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication susse costs, reimbursements to other federal agencies, and contract costs years specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	1707	Secret	Secret	dential	access	SAP access	Total
or Time: how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudications buse costs, reinbursements to other federal agencies, and contract costs years of the specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Number of completed						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudications processes to other federal agencies, and contract costs appeciate costs if possible (i.e. adjudicative costs, Defense Invescice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Reinvestigations:						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	G = 1 = 1 = 1						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Agency						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies		***************************************					
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract costs specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Contractor						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication buse costs, reimbursements to other federal agencies, and contract costs specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies						•	
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication of the security of	Average Time						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication course costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Required:						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication course costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies							
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication course costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Agency						
how much did you spend in calendar years 1986 and 1987 for security estigations, reinvestigations, special investigations, and adjudication course costs, reimbursements to other federal agencies, and contract costs y specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	_						
to other agencies to other federal agencies, and contract cost if possible (i.e. adjudicative costs, Defense Invessice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	Contractor						
to other agencies to other federal agencies, and contract costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies						-	
fy specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	including inhouse cos	ts. reimbo	estigation	is, special	investig	ations, and ad	judication
fy specific costs if possible (i.e. adjudicative costs, Defense Investice vs. Office of Personnel Management, etc.). 1986 1987 to other agencies	including inhouse cos	ts. reimbo	estigation	is, special	investig	ations, and ad	judication
to other agencies	Please identify speci-	fic coate	if possibl	o other re	derar age	ncies, and con	tract co
1986 1987 to other agencies	tigative Service vs. (Office of	Personnel	Management	· ato /	c costs, Defen	se Inves
to other agencies				8	., e.c.,.		
to other agencies	Inhouse costs			1986		1987	
				-		1707	
			 -				
	Total						
							
	Reimbursement to other	r scencies					
'estigative services		agencies					•
'estigative services					•		
restigative services		· · · · · · · · · · · · · · · · · · ·					
restigative services						•	
vestigative services							
vestigative services							
restigative services					-		
vestigative services	Total						
restigative services							
	Contracted investigation	ive service	28				
							
			_ _				
				<u></u>			
			-				
	Total						
	Top 1						

CLAS	SIFI	CATION	MARAGED	CENT

no

10.	level and below as o individuals based on	f December 31, 1986, and their highest level of	Secret level December 3:	assify information (either el and below and at the secret l, 1987? Pleases count the (if the exact number is not lcate such by preceding the
	Level of Information	Agency		
	Classified	Classi	fiers	
		1986 Original Derivative Authority Authority	Original	Derivative Authority
	TOP SECRET and below			The state of the s
	SECRET and below			
11.		uire its classifiers to liers? If you answer yes llabus of the training re		? Does your agency require ovide the requirements of the
	Certification of Classifiers	Training for Class	Required	
	yes		уев	
	no		_ no	
12.	Does your agency use as of December 31, 19	classification guides? 986, and December 31, 198	If you answ	er yes, how many were issued
	Classification Guides Used	Number Guides	of Classif	ication of
	yes	December 31	. 1986 na	cember 21 1007

ACCESS BY HISTORIANS AND FORMER PRESIDENTIAL APPOINTERS

13. Section 4.3 of Executive Order 12356 authorizes access to classified information by historical researchers and former presidential appointees. Please provide the following information on the number of individuals given such access.

1986	Historical Researchers	Former Presidential Appointees
Number of individuals granted access during calendar year 1986		
Number of individuals denied access during calendar year 1986		
Number of individuals with access as of December 31, 1986		
1987	Historical Researchers	Former Presidential Appointees
Number of individuals granted access during calendar year 1987		
Number of individuals denied access during calendar year 1987		
Number of individuals with access as of December 31, 1987		

14. Please provide a copy of your agency's procedures governing section 4.3 of the executive order. If your procedures do not include a provision for this section of the order, please identify the position of the individual authorized to grant access to historical researchers and former presidential appointees.

MONDISCLOSURE AGREEMENTS

15. As of December 31, 1986, and December 31, 1987, how many employees of your agency and employees of your contractors had signed a Standard Form (SF) 189, SF 189-A, Form 4193, DD Form 1847-1 (for SCI access), or other nondisclosure agreement? (Please attach copies of agreements other than SF 189, SF 189-A, Form 4193 and DD Form 1847-1.)

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••	1986		Agency Employees		Contractor Employees	
	SF 189					•
	SF 189-A	·				
	Form 4193					
	DD Form 1847-1	_				
		_				
	(other)					
	(other)	_				
	1987		Agency Employees		Contractor Employees	
	SF 189		———			
	SF 189-A			•		
	Form 4193			•		
	DD Form 1847-1			-		
	(other)			-		
	(other)			-		
16.	Has your agency issu	ed written pr	rocedures f	or use of the	SF 189 and	189-A?
	Yes	No			•	
	If you answered "yes	", please pro	ovide a cop	y of the proc	edures.	
17.	Information Security 189-A did not design already had access t for obtaining a sign classified informati immediate suspension	co classified led SF 189 or con prior to t	information SF 189-A f	n. What date	or dates di	ividuals who d you establish
		<u>s</u>	SF 189		SF 189-A	
	None	_				
	Date	-	•			

		Yes				
		No				
f Wou anguer 3 H . H						
f you answered "no",	which empl	oyees were	not required	to sign	an SF 189 o	r SI
						_
		7				
_					······································	-
hat means of enforcem n SF 189 or SF 189-A?	ent did yo	u have to e	nsure that a	ll require	ed individua	als
n SF 189 or SF 189-A?	(Please	explain.)		•		*13
						_
			· · · · · · · · · · · · · · · · · · ·			_
			·			_
						_
nen do you require in	dividuals-	new employe	ees, newly c	leared emp	ployees, and	-
nen do you require in ployees who already i	dividuals—	new employs to classi	ees, newly c	leared emp	ployees, and	- dis
greements (SF 189, SF	189-A. For	new employs s to classi rm 4193, DD	ees, newly cl fied informat Form 1847-1	leared emp tion-to s	ployees, and sign the nor ers)? (Plea	- dis
greements (SF 189, SF	189-A. For	new employs s to classi rm 4193, DD	ees, newly cified information 1847-1	leared emption—to s	ployees, and sign the non ers)? (Plea	- dis
greements (SF 189, SF the appropriate line of	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	leared emption—to so and othe	ployees, and sign the nor ers)? (Plea	dis
greements (SF 189, SF ne appropriate line o	189-A. For	rm 4193, DD	ees, newly cified information 1847-1	tion—to s , and othe	oloyees, and sign the non ers)? (Plea Other	dis
greements (SF 189, SF ne appropriate line of employees	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	dis
greements (SF 189, SF ne appropriate line of employees	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	d1s
greements (SF 189, SF ne appropriate line of employees nen hired	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	dis se
hen do you require inmployees who already greements (SF 189, SF he appropriate line of the employees hen hired hen a clearance is equested	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	dis
greements (SF 189, SF ne appropriate line of the appropriate line of the appropriate line of the appropriate line of the line of t	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	- dis
greements (SF 189, SF ne appropriate line of expenses nen hired nen a clearance is equested nen a clearance is	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	dis
greements (SF 189, SF ne appropriate line of expenses nen hired nen a clearance is equested nen a clearance is	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	- dis
greements (SF 189, SF ne appropriate line of the appropriate line of the appropriate line of the appropriate line appropriate line a clearance is equested then a clearance is the appropriate line of the appropriate line appropriate line of the appropriate line of the appropriate line	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	- dis
greements (SF 189, SF ne appropriate line of exployees nen hired nen a clearance is equested nen a clearance is eanted ter a security	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	- dis
greements (SF 189, SF the appropriate line of the appropriate line of the appropriate line of the appropriate line appropriate line a clearance is equested then a clearance is canted there a security	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	dis se
greements (SF 189, SF the appropriate line of the appropriate line of the appropriate line of the appropriate line of the appropriate line and a clearance is the appropriate line aclearance is the appropriate line of the appropria	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the field in the first field in the field i	tion—to s , and othe DD Form	ign the nor	- dis se
greements (SF 189, SF ne appropriate line of the appropriate line of the appropriate line of the appropriate line of the appropriate line of the a	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the first field in the field in the first field in the first field in the field in the field in the first field in the field	tion—to s , and othe DD Form	ign the nor	- dis se
greements (SF 189, SF ne appropriate line of the appropriate line of the appr	189-A, For	rm 4193, DD	fied information of the first field in the field in the first field in the field in the first field in the field in the first field in the first field in the field in the field in the first field in the field	tion—to s , and othe DD Form	ign the nor	- dis se

Employees	SF 189	SF 189-A	Form 4193	DD Form 1847-1	Other
After a security briefing					
Before access to classified data				1	
Other (please explain on attachment)					
Employees already having access	SF 189	SF 189-A	Form 4193	DD Form 1847-1	Other
All employees have signed agreements					
After a security briefing					
Before access to classified data					
Other (please explain on (attachment)					
How many employees of your 189-A, Form 4193, DD Form 1987?	r agency 1847-1,	and contrac or other no	tors had ref	used to s agreement	ign the SF 189 SF as of December 31,
	_	ency	C	ontractor	
SF 189	<u>Em</u>	ployees	Eı	mployees	
SF 189-A			_	•	
Form 4193					
DD Form 1847-1			-		
Other			· -		
What action has been taken					

21.

22. What action has been taken with respect to those individuals who refused to sign the SF 189, SF 189-A, Form 4193, DD Form 1847-1, or other nondisclosure agreement? Please indicate the number of employees involved and indicate the action or actions taken. For example, if any employee's security clearance was suspended and the individual was also reassigned to another position, the individual would be included in two of the categories described below.

	Agency Employees	ContractorEmployees
Security clearance suspended		
Security clearance revoked		
No action taken on clearance		
Individual reassigned to another position or duties with:	•	
No reduction in salary	·	
Reduction in salary		
Individual resigned		
Individual's employment terminated		
Case still under consideration		
Other administrative sanctions (please describe below)		
How many SF 189, SF 189-A, Form 4193, ments were signed by employees of you December 22, 1987 (date of enactment 1988? December 22, 1987 - March 31, 1988		
SF 189		
SF 189-A	-	
Form 4193		
DD Form 1847-1		
(Other)	- A Third College Coll	
(Other)	-	
Total		

23.

	Are any of your agency's emplo procedure (other than to revie	yees requ w officia	ired to sub	mit to any	pre-publication rev	iew	
	Yes			o ou benair	of the agency)?		
	No (Please skip to	Question	28)				
	Approximately how many books, articles, speeches, and other materials, by categories reviewed during your agency's pre-publication review process (described in Question 24) for calendar years 1986 and 1987? If possible, identify the reason pre-publication review. If the number is not known, please give your agency's estimate (required by regulation or nondisclosure agreement). Please enter the on each line. (If none, enter "O".)						
	Reason for Pre-Publication Review	n	Type of M	etariol			
	1986	Books	Articles	Speeches	Other (Please Specify)		
	Regulation						
	SCI Agreement						
	Non-SCI SAP Agreement						
	Other (please specify)		<u> </u>				
	1987						
	Regulation			-			
	SCI Agreement						
	Non-SCI SAP Agreement			_			
	Other (please specify)			•			
1	Please estimate the average num receipt of a request for pre-puiate the requestor is informed in reviewing a type of document average number of working days	of the fi	nal results	each type o	f document below, t	o ti nce atex	
	Estimated Average Working Da	ıys					
	Books		Ar	ticles			

	Estimated number of	1986	1987	
	employees assigned	-		
	Estimated number of working days used			
28.	During calendar years 1986 and 1987, disclosures of classified information	did your age on? (Check one	ncy experience any unauthorized	
		1986	1987	
	Yes	-		
	No (Please skip to Question 32)			
29.	For calendar years 1986 and 1987, pl disclosures, the number of unauthori speeches, written or given by then-c not reported to the Department of Ju previously signed a nondisclosure ag	urrent and for	es made through books, articles,	
		1986	1987	
	Total number of known unauthorized disclosures			
	unauthorized disclosures Number not reported to		·	
	Number not reported to Department of Justice Number made through published writing or speeches by:			
	Number not reported to Department of Justice Number made through published writing or speeches by: then-current employees, or			
30.	Number not reported to Department of Justice Number made through published writing or speeches by: then-current employees, or Former employees Number made by individuals who had previously signed a	re investigate	d by your agency or referred to rears 1986 and 1987?	
30.	Number not reported to Department of Justice Number made through published writing or speeches by: then-current employees, or Former employees Number made by individuals who had previously signed a nondisclosure agreement How many unauthorized disclosures we	re investigate	d by your agency or referred to tears 1986 and 1987?	

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	Number of cases referred to another agency for investigation, that your agency did not investigate.	<u>1986</u>		1987	• -
31.	How many employees of your agency further access to classified infor with the investigation of an unaut was involved or for other reasons) were subjected to other administra	horized dis	closure (b	eir unwilli	ingness to cooperate
	Employees denied further access to classified information	Polygraph Involved	Other		Other
	Agency	111401460	Reasons	Involved	Reasons
	Contractor				
	Employees subjected to other administrative sanctions				
	Agency				
	Contractor	s .			The state of the s
	Please indicate which of your agen between your employees and media r Also, explain or provide documenta assures that all employees with se procedures.	tion emalate	res are to	r national	security reasons.
	procedures.		ances are	abbrised o	f these policies an
DLY	GRAPH ACTIVITY		ances are	apprised o	f these policies an
	GRAPH ACTIVITY		ances are		
			ances are		
	GRAPH ACTIVITY	perators dur	ances are	iar years l	
	CRAPH ACTIVITY Did your agency employ polygraph o	perators dur	ances are	iar years l	
3.	CRAPH ACTIVITY Did your agency employ polygraph o Yes No As of December 31, 1986, and December 31.	1986	ing calend	iar years 1	986 and 1987?
3.	CRAPH ACTIVITY Did your agency employ polygraph o Yes No	1986	ing calend	iar years 1	986 and 1987?
	CRAPH ACTIVITY Did your agency employ polygraph o Yes No As of December 31, 1986, and December 31.	perators dur 1986 ber 31, 1987 contracts f	ing calend	1987 1987 polygraph	986 and 1987?

Agency employees		
Contractors		
		·
As of December 31, 1986 and Dece any, did your agency possess? H	low many were procur	ed during and and
any, did your agency possess? Eand 1987? Do you have plans to so, how many?	low many were procur procure any addition 1986	ed during calendar years nal polygraph machines, a
and 1987? Do you have plans to so, how many?	procure any addition	ed during calendar years nal polygraph machines, a
any, did your agency possess? Heand 1987? Do you have plans to so, how many? Number of polygraph machines on hand at end of the year	procure any addition	ed during calendar years nal polygraph machines, a
and 1987? Do you have plans to so, how many? Number of polygraph machines on hand at end of the year Number of polygraph machines	procure any addition	ed during calendar years nal polygraph machines, a
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and 1987? Do you have plans to so, how many? Number of polygraph machines on hand at end of the year Number of polygraph machines	procure any addition	ed during calendar years nal polygraph machines, a
and 1987? Do you have plans to so, how many? Number of polygraph machines on hand at end of the year Number of polygraph machines or occured during the year Plans to procure additional	procure any addition	ed during calendar years nal polygraph machines, a

37. Approximately how many polygraph tests were conducted of your employees or applicants for employment by, or for, your agency? Please list by the indicated categories for each of the listed calendar years. If they were conducted by another agency or contractor, so indicate. Identify how many tests resulted in security clearance action (denial, revocation, suspension, other) and explain.

Reason for Polygraph Test				
	<u>19</u>	86	<u>19</u>	87
Criminal or specific incident investigations	Number of Tests	Clearance Action	Number of Tests	Clearance Action
Conducted by agency				
Conducted by other agencies or contractors (list)				
Pre-employment screening				
Conducted by agency				
Conducted by other agencies or contractors (list)				
Pre-access screening				
Conducted by agency				
Conducted by other agencies or contractors (list)			•	
Subsequent screening				
Conducted by agency				•
Conducted by other agencies or contractors (list)				
Other (explain)				
Conducted by agency				
Conducted by other agencies or contractors (list)				
Total (do not double count)				
Sumary				
Conducted by agency				
Conducted by other agencies or contractors (list)				
Total (should be the same number as in above "total"				
	·			***************************************

	Explanation of Clearance Actions:
38.	Under what authority, regulations and rules are your polygraph examinations conducted? Please list citations and attach copies. Highlight any changes since 1985. Also, indicate any plans to revise these governing regulations proposed at this time. Please describe the changes and attach copies of these proposals, if available. In particular, describe which employees, and indicate how many, would be potentially covered under each type of polygraph examination (specific incident investigation, screening or other uses (described) and the projected number of exams to be given in each category.
39.	Please provide the qualifications required of individuals employed as polygraph examiners by your agency.
40.	Please enter below the name, title, and telephone number of the person to be contacted if clarification or additional information is needed:
	Agency:
	Name:
	Title:
	Location:
	Telephone number: (Area Code) (Number)
If y	ou have any questions, please contact either GAO staff member: Don Lentz, 275-4052 or Mr. Irv Boker, 275-3973
Than	k you for your time. Please return the completed questionnaire by

Mr. Irv Boker, Room 4100 U.S. General Accounting Office Washington, D.C. 20548